

07-19-07



JPV
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:	Cadoux, Robert)	Examiner:	Milef, Elda G.
)		
Serial No.:	09/491,388)	Art Unit:	3628
)		
Filing Date:	Jan. 26, 2000)	Attorney Docket No.	99629
)		
Confirmation No.	8477)		

Title: A SERIALLY STAGED, INITIAL PUBLIC STOCK OFFERING METHOD

Mail Stop: Issue Fee
Commissioner for Patents
P.O. Box: 1450
Alexandria, VA 22313-1450

EXPRESS MAIL CERTIFICATE

"Express Mail" label number EB616635246US

Date of Deposit July 18, 2007

I hereby certify that the following attached paper or fee
TRANSMITTAL OF FORMAL DRAWINGS
COPY OF NOTICE OF ALLOWABILITY
3 SHEETS OF FORMAL DRAWINGS

is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to: **Mail Stop: Issue Fee, Commissioner for Patents, P.O. Box: 1450, Alexandria, VA 22313-1450**

Beth H. Retort

(Typed or printed name of person mailing paper or fee)

(Signature of person mailing paper or fee)



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Dear Sir:

In response to the NOTICE OF ALLOWABILITY mailed on **July 2, 2007**, attached please find:

- (a) 3 sheets of formal drawing(s) for this application. Each sheet of drawing indicates the identifying indicia suggested in § 1.84(c) on the front side of the drawing; and
- (b) a copy of the NOTICE OF ALLOWABILITY.

PLEASE INCLUDE THESE FORMAL DRAWINGS IN THE ISSUED PATENT.

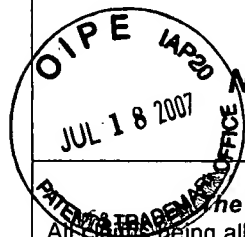
A representative of the Office is invited to contact the undersigned with any questions regarding the attached drawings.

A handwritten signature in cursive script, appearing to read "Mark Knedeisen".

SIGNATURE OF ATTORNEY

Reg. No.: 42,747
Tel. No.: (412) 355-6342
Customer No. 26285

Mark G. Knedeisen
(type or print name of person certifying)
Kirkpatrick & Lockhart Preston Gates Ellis LLP
Henry W. Oliver Building
535 Smithfield Street
Pittsburgh, PA 15222-2312



Notice of Allowability

Application No.	Applicant(s)	
09/491,388	CADOUX, ROBERT	
Examiner	Art Unit	
Elda Milef	3692	

the MAILING DATE of this communication appears on the cover sheet with the correspondence address--
All claims being allowable, PROSECUTION ON THE MERITS IS (OR REMAINS) CLOSED in this application. If not included herewith (or previously mailed), a Notice of Allowance (PTOL-85) or other appropriate communication will be mailed in due course. **THIS NOTICE OF ALLOWABILITY IS NOT A GRANT OF PATENT RIGHTS.** This application is subject to withdrawal from issue at the initiative of the Office or upon petition by the applicant. See 37 CFR 1.313 and MPEP 1308.

1. ☒ This communication is responsive to the amendment filed by the applicant on 6/5/2007.
2. ☒ The allowed claim(s) is/are 27-39.
3. ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
a) ☐ All b) ☐ Some* c) ☐ None of the:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. _____.
3. ☐ Copies of the certified copies of the priority documents have been received in this national stage application from the International Bureau (PCT Rule 17.2(a)).

* Certified copies not received: _____.

Applicant has THREE MONTHS FROM THE "MAILING DATE" of this communication to file a reply complying with the requirements noted below. Failure to timely comply will result in ABANDONMENT of this application.
THIS THREE-MONTH PERIOD IS NOT EXTENDABLE.

4. ☐ A SUBSTITUTE OATH OR DECLARATION must be submitted. Note the attached EXAMINER'S AMENDMENT or NOTICE OF INFORMAL PATENT APPLICATION (PTO-152) which gives reason(s) why the oath or declaration is deficient.
5. ☐ CORRECTED DRAWINGS (as "replacement sheets") must be submitted.
(a) ☐ including changes required by the Notice of Draftsperson's Patent Drawing Review (PTO-948) attached
1) ☐ hereto or 2) ☐ to Paper No./Mail Date _____.
(b) ☐ including changes required by the attached Examiner's Amendment / Comment or in the Office action of Paper No./Mail Date _____.
Identifying indicia such as the application number (see 37 CFR 1.84(c)) should be written on the drawings in the front (not the back) of each sheet. Replacement sheet(s) should be labeled as such in the header according to 37 CFR 1.121(d).
6. ☐ DEPOSIT OF and/or INFORMATION about the deposit of BIOLOGICAL MATERIAL must be submitted. Note the attached Examiner's comment regarding REQUIREMENT FOR THE DEPOSIT OF BIOLOGICAL MATERIAL.

Attachment(s)

- | | |
|---|---|
| 1. <input type="checkbox"/> Notice of References Cited (PTO-892) | 5. <input type="checkbox"/> Notice of Informal Patent Application |
| 2. <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 6. <input type="checkbox"/> Interview Summary (PTO-413),
Paper No./Mail Date _____ |
| 3. <input checked="" type="checkbox"/> Information Disclosure Statements (PTO/SB/08),
Paper No./Mail Date <u>5/15/2007</u> | 7. <input type="checkbox"/> Examiner's Amendment/Comment |
| 4. <input type="checkbox"/> Examiner's Comment Regarding Requirement for Deposit
of Biological Material | 8. <input checked="" type="checkbox"/> Examiner's Statement of Reasons for Allowance |
| | 9. <input type="checkbox"/> Other _____ |